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PTO/SB/08A (10-01)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

4

**Complete, if Known**

Application Number

10/090,681

Filing Date

03/05/02

**First Named Inventor**

бүлгө

Art Unit

213

**Examiner Name**

Attorney Docket Number

53087-5010

## U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code 2 (if known)			
29	A	US- 6,216,116	04/10/01	Barkan et al.	
	B	US- 5,659,616	08/19/97	Sudia	
	C	US- 5,903,882	05/11/99	Asay et al.	
	D	US- 5,943,423	08/24/99	Muttic	
	E	US- 5,978,484	11/02/99	Apperson et al.	
	F	US- 5,960,085	09/28/99	De la Hueraga	
	G	US- 6,003,014	12/14/99	Lee et al.	
	H	US- 5,901,284	05/04/99	Hamdy-Swink	
	I	US- 5,872,848	02/16/99	Romney et al.	
	J	US- 5,784,403	07/21/98	Chen et al.	
	K	US- 5,761,309	06/02/98	Ohashi et al.	
	L	US- 5,757,920	05/25/98	Misra et al.	
	M	US- 5,754,654	05/19/98	Hirova et al.	
	N	US- 5,694,471	12/02/97	Chen et al.	
	O	US- 5,412,717	05/10/95	Fischer	
	P	US- 5,339,403	08/18/94	Parker	
	Q	US- 5,261,002	11/09/93	Perlman et al.	
R	US- 5,315,657	05/24/94	Abadi et al.		
S	US- 5,214,702	05/25/93	Fischer		
T	US- 4,868,877	09/19/89	Fischer		

## FOREIGN PATENT DOCUMENTS

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Examiner  
Signature

Date \_\_\_\_\_

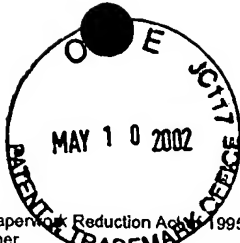
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO		Complete If Known			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/290,681		
		Filing Date	03/25/02		
		First Named Inventor	Gunter		
		Group Art Unit	2131		
		Examiner Name			
Sheet	2	of	4	Attorney Docket Number	53087-5010

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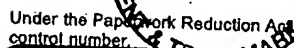
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
OO	U	Blaze et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.		
	V	Gunter et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000 Vol. 3, pp. 1609-1640.		
	W	Gunter et al., "GENERALIZED CERTIFICATE REVOLUTION", ACM Symposium on Principles of Programming Languages, January 2000, pp. 1-14.		
	X	Kakkar et al., "CERTIFICATE DISTRIBUTION WITH LOCAL Autonomy", International Workshop on Active Networks, October 2000, pp. 1-19.		
	Y	Blaze et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23.		
	Z	Herzberg et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.		
	AA	Rivest, et al., "SDSI - A SIMPLE DISTRIBUTION SECURITY INFRASTRUCTURE", APR 11 30, 1996, pp. 1-37.		
	BB	C. ELLISON, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.		

Examiner Signature		Date Considered	3/5/04
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

4.

**Complete, if Known**

**Application Number**

10/040.681

Filing Date

03/05/02

**First Named Inventor**

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**Art Unit**

213

**Examiner Name**

Attorney Docket Number

53087-5010

**U.S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

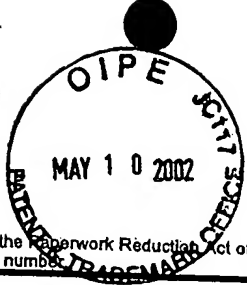
Examiner Signature	<i>Jenise Jaal</i>	Date Considered	3/5/04
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Substitute for form 1449B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	10/290/661
		Filing Date	03/05/02
		First Named Inventor	Gunter
		Group Art Unit	2131
		Examiner Name	
Sheet 4 of 4	Attorney Docket Number	53087-5010	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JJ		Ellison et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.	
KK		Muller, "BLUE TOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.	
LL		Mehtaia, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.	
MM		Maywah, "AN IMPLEMENT OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.	
NN		DAN BLACKMAN, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, MAY 15, 1998, pp. 170,171.	
OO		Ellison, <a href="http://world.std.com/~cme/">http://world.std.com/~cme/</a> , July 21, 2001, pp. 1-3.	
PP		IEEE, <a href="http://www.ieee.org">www.ieee.org</a> , 13 pages.	
QQ		Infrared Data Association, <a href="http://www.irda.org">www.irda.org</a> , 93 pages.	
RR		Microsoft .Net Passport Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
SS		NET PASSPORT OVERVIEW: //13/ <a href="http://www.microsoft.com/my services/passport/overview.asp">http://www.microsoft.com/my services/passport/overview.asp</a> , pp. 1-7; //21/ <a href="http://www.microsoft.com/my services/passport/overview.asp">http://www.microsoft.com/my services/passport/overview.asp</a> , p. 1.	

Examiner Signature	<i>Genie Jack</i>	Date Considered	3/5/04
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SERIAL NO.  
10/090,681

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Use several sheets if necessary)

(37 C.F.R. § 1.98(b))

APPLICANT  
Gunter et al.

FILING DATE  
March 5, 2002

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
99	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

99	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

EXAMINER


DATE CONSIDERED

3/5/02

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Examiner Signature		Date Considered	3/18/04
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